

06/22/00  
JC784 U.S. PTO

06-23-00

A/Reissue  
Reissue Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Robert J. Brockway  
Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM  
Attorney Docket No.: TER003RA

Original Patent No.: 5,769,507  
Original Issue Date: June 23, 1998  
Express Mail Label No.: **EK120452648US**

06/22/00  
JC542 U.S. PTO  
09/599679

APPLICATION FOR REISSUE UNDER 37 CFR 1.171

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Enclosed with this transmittal (which is submitted in triplicate) are the following:

Reissue Patent Application for U.S. Pat. No. 5,769,507: Specification, 23 claims and temporary drawings.

A request to transfer the formal drawings from U.S. Patent No. 5,769,507 to this reissue application.

A signed Declaration from counsel in support of this reissue application.

An unsigned inventor's Oath in support of this reissue application.

A return postcard.

Applicant and Assignee continue to claim small entity status (See 37 CFR 1.9 and 1.27). The patent was originally classified in Class 301, Subclass 43.

The filing fee is determined as follows:

Basic Filing Fee	\$760.00
Filing Fee Calculation (50% of above)	\$380.00
Claims in Excess of 20 (3 @ \$18.00)	\$ 54.00
Independent Claims over original Patent (1 @ \$78.00)	\$ 78.00
Total	<b>\$512.00</b>

Respectfully submitted,

Registration Number	Telephone Number
35,576	651-575-1056
Date:	June 22, 2000

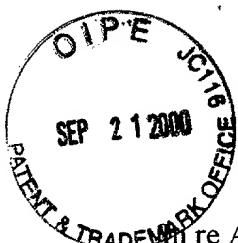
Signature	
Print Name:	Harold C. Knecht III

P.O. Box 28338  
St. Paul, MN 55128

CERTIFICATE UNDER 37 CFR §1.10

"Express Mail" mailing label number: **EK120452648US**. Pursuant to 37 CFR 1.10, I certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated below and is addressed to the Assistant Commissioner for Patents, ATTN: Box Patent Application, Washington, D.C. 20231

Date:	Signature
June 22, 2000	
Harold C. Knecht III	



Reissue Patent

Section 3  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Applicant: Robert J. Brockway  
Serial No.: 09/599,679

Filing Date: June 22, 2000

Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM

Attorney Docket No.: TER003RA

Group Art Unit: 3612

Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

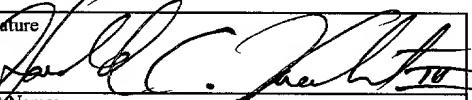
Sir:

### **RESPONSE TO NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION**

This paper is being filed in response to the Notice to File Missing Parts of Reissue Application mailed July 18, 2000 and having a reply due date of September 18, 2000. Included with this paper is (1) a Verified Statement Claiming Small Entity Status, (2) a check in the amount of \$620.00, (3) the Inventor's Reissue Oath, (4) Assignee's Statement Under 37 C.F.R. §3.73(b), (5) a copy of the Notice to File Missing Parts, as requested in the Notice, and (6) a return receipt post card identifying each of the preceeding.

Respectfully submitted,

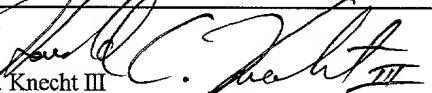
Registration Number	Telephone Number
35,576	651-575-1056
Date:	September 18, 2000

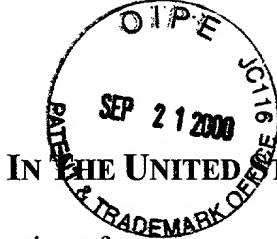
Signature	
Print Name: Harold C. Knecht III	

P.O. Box 28338  
St. Paul, MN 55128

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited on the date indicated below with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Date:	Signature
September 18, 2000	
Harold C. Knecht III	



Reissue Patent  
Docket No.: TER003RA

3  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert J. Brockway

Original Patent No.: 5,769,507

Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM

Original Issue Date: June 23, 1998

**CERTIFICATE UNDER 37 C.F.R. §3.73(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Terra Compactor Wheel Corporation, hereby certifies that it is the assignee of the entire right, title and interest of the United States Letters Patent No. 5,769,507 by virtue of a previously filed assignment recorded on January 8, 1997 at Reel No. 8302 and Frame No. 0313.

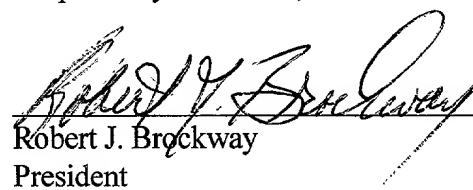
I have reviewed all the documents in the chain of title of the patent identified above and, to the best of my knowledge and belief, title is in the assignee identified above.

I am empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

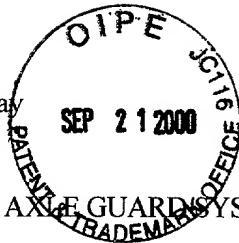
Respectfully submitted,

DATE: 9-6-00

  
Robert J. Brockway  
President

Terra Compactor Wheel Corporation  
3209 South 32<sup>nd</sup> Street  
Sheboygan, WI 53082

Applicants: Robert J. Brockway  
Serial No.: 09/599,679  
Filed: June 22, 2000  
For: COMPACTOR WHEEL AXLE GUARD SYSTEM



Attorney Docket No. TER003RA

4

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 C.F.R §1.9(f) and 1.27(c) - SMALL BUSINESS CONCERN**

I HEREBY DECLARE THAT I AM an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Terra Compactor Wheel Corporation  
ADDRESS OF CONCERN: 3209 South 32nd Street  
Sheboygan, WI 53082

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

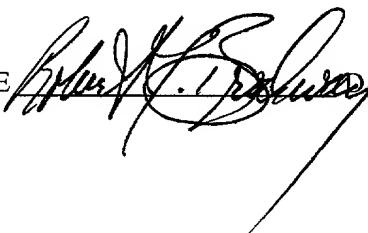
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **COMPACTOR WHEEL AXLE GUARD SYSTEM** by inventor(s) **Robert J. Brockway** described in the Reissue patent application filed June 22, 2000 and having Serial No. 09/599,679.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Robert J. Brockway  
TITLE OF PERSON OTHER THAN OWNER: President  
ADDRESS OF PERSON SIGNING: West 6397 Judy Drive, Plymouth, WI 53073

SIGNATURE



9-6-00

DATE:

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## **COMPACTOR WHEEL AXLE GUARD SYSTEM**

#### CROSS-REFERENCE TO RELATED APPLICATIONS

5 This patent application claims the benefit of the provisional patent application Ser. No. 60/005,639, filed Oct. 19, 1995.

#### FIELD OF THE INVENTION

10 The present invention relates to compaction machines, such as those used to compact landfills, more particularly, to the compactor wheels on such a compaction machine and, even more particularly, to a compactor wheel having an axle guard system for guarding against refuse and debris wrapping around the axle of the compaction machine.

## BACKGROUND OF THE INVENTION

Compaction machines are used to compact landfill sites, garbage dumps and other such locations. These machines typically include a self-propelled vehicle having four large wheels made of steel. Each wheel has a hub mounted to one end of an axle and a rim disposed around and radially out from the hub. The rim typically includes an outer wrapper on which a plurality of cleats are usually mounted. One problem encountered by such machines is the accumulation of waste behind the compaction wheel. Waste materials such as steel cable, wire, rope and the like have a particularly detrimental effect. Such refuse tends to wrap around the axles of the compaction machine and become trapped between the wheel and its axle, increasing the corresponding frictional forces therebetween. Increasing the friction between the wheel and its axle increases the load on the wheel propulsion system (e.g., an internal combustion engine) and reduces the life of the compaction machine. In addition, such increased frictional forces can cause the compaction wheels to wear to the point of requiring repair or even replacement of the wheels. Such wear related repairs can be very costly, and replacement wheels are very expensive.

Previously, the only way to prevent the buildup of waste behind the wheels and the corresponding premature wear and tear on the compaction machine was to periodically remove each compaction wheel so that refuse trapped between the wheel and the axle can be removed. Removing the compaction wheels on a compaction machine is a labor intensive and time consuming process. Compaction wheels can have an outside diameter of up to 84 inches and weigh up to five tons per wheel. It can take up to three working days or more to remove, clean and inspect the wheels and axles of a typical compaction machine. Such down time can result in lost income from refuse left uncompacted. In addition, trash dumping sites typically do not have backup compaction machines available to take over during the down times. The operator of, for example, a landfill risks being charged federal and state fines for each day the trash at the site remains uncompacted.

5 Accordingly, there is a need for a way to prevent, or at least substantially inhibit, cable, rope, wire and other refuse and debris from wrapping around the axle of a compaction machine, behind a compactor wheel mounted on the axle and, thereby, at least reduce, if not eliminate, the rate of waste buildup between a compaction wheel and its axle.

10 SUMMARY OF THE INVENTION  
The present invention satisfies this need by providing a number of ways of preventing, or at least substantially

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15 inhibiting, cable, rope, wire and other refuse and debris from wrapping around the axle of a compaction machine, behind a compactor wheel mounted on the axle. The compaction machine is of the type used, for example, in landfills or transfer facilities to compact (i.e., increase the density of) the refuse disposed therein. The present invention provides a compactor wheel, mountable on an axle of a compaction machine, which comprises a hub mountable to the compaction machine axle, a rim mounted around the outer circumference of the hub, a plurality of cleats mounted to the face of the rim, and an axle guard system. The present axle guard system guards or protects against (i.e., prevents or at least substantially limits) refuse from wrapping around the wheel axle during the operation of the compaction machine in compacting refuse.

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In one aspect of the present invention, the axle guard system comprises a cleat-free area formed circumferentially around the rim on its face. The cleat-free area extends widthwise from the inner circumferential edge of the rim and across the rim toward the outer circumferential edge of the rim at least about the width of one of the cleats.

Such a cleat-free area can be obtained by removing the inner circumferential row of cleats on the compactor wheel. Depending on how close they are to the inner edge of the wheel, the inner circumferential row of cleats tend to snag cable, rope, wire and other refuse and direct such debris into the axle area where it wraps around the wheel's axle. By removing the inner circumferential row of cleats or moving the inner row of cleats away from the inner edge of the wheel wrapper or rim, the refuse is less likely to be directed toward the axle area and end up wrapped around the axle. Alternatively, instead of actually removing or moving the inner circumferential row of cleats, the same results may effectively be obtained by extending the inner edge of the wheel rim inward toward the compaction machine. It may also be possible to obtain the desired results by a combination of so moving the inner circumferential row of cleats and extending the inner edge of the wheel rim. The extent to which the inner edge of the wheel rim is extended is dependant on the allowable clearance permitted between the compactor wheel and the compaction machine.

5        In an effort to effectively move the inner circumferential row of cleats away from the inner edge of the wheel rim, it may be desirable to extend the outer edge of the rim and shift all of the circumferential rows of cleats away from the inner edge of the wheel rim. It may also be desirable to extend both the inner edge and the outer edge of the wheel rim to provide the room needed to move the cleats a sufficient distance away from the axle (i.e., the inner edge of the rim) without having to remove the inner row of cleats. To provide the clearance needed to extend the inner edge of the rim, it may be desirable to use an axle that extends further out from the compaction machine body.

10      Especially for narrower compactor wheels (i.e., those wheels that have a width of about 35 inches or smaller), it is best not to remove the inner circumferential row of cleats, if possible. The narrower compactor wheels typically have three or four circumferential rows of cleats. The wider compactor wheels (i.e., those wheels having a width of greater than about 35 inches) typically have four or five circumferential rows of cleats and can be less affected by the loss of the inner row of cleats.

15      In addition to the cleat-free area, this axle guard system can further comprise one or more circumferential barriers mounted on the cleat-free area so as to extend above the face. In addition, at least one circumferential barrier can be

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20      mounted on the cleat-free area so as to extend radially outward from the face. At least one circumferential barrier can also be mounted on the cleat-free area adjacent the inner circumferential edge of the rim. Furthermore, each circumferential barrier can extend above the face of the rim to a height greater than, equal to, or less than the height of the cleats above the rim.

25      In another aspect of the present invention, the axle guard system comprises at least one circumferential barrier extending above the face and adjacent the inner circumferential edge of the rim. That is, at least one circumferential barrier is sufficiently near the inner circumferential edge of the rim so as to at least substantially inhibit refuse from wrapping around the axle of the compaction machine. Each circumferential barrier extends above the face of the rim to a height greater than, equal to, or less than the height of the cleats above the rim. At least one circumferential barrier can extend radially outward from the face (i.e., from the axis of rotation of the compactor wheel).

30      It can be desirable for at least one circumferential barrier to include some type of buttressing structure for support, in particular lateral support, against bending. This buttressing structure can be, for example, a flaring of the thickness of the at least one circumferential barrier near the face of the rim. At least one circumferential barrier can also be broadened at the face of the rim.

35      The at least one circumferential barrier can comprise, for example, a ring-shaped wall or a plurality of circumferentially spaced plates or fins.

For the ring-shaped barrier, the buttressing structure can be, for example, cone shaped and disposed around the outer circumference of the rim and joined, such as by welding, bolting or any other suitable technique, to the rim along a lower circumferential edge and to the ring along an upper circumferential edge. The buttressing structure can also be any other continuous structure, such as a ring-shaped plate, that provides the barrier with the desired degree of support. Instead of a continuous structure, the buttress can comprise a series of blocks or plates spaced circumferentially around the rim. Each of these blocks or plates is mounted to the rim and the ring-shaped wall, such as by welding, bolting or any other suitable technique.

15 If the compactor wheel has an inner row of the cleats mounted adjacent to the inner circumferential edge, it may be desirable to mount one or more of the fins to the rim between each pair of adjacent cleats forming the inner row. As with the previously described ring embodiment, if desired, each fin can be supported or reinforced with its own buttressing structure, such as one or more plates or blocks mounted to the surface of the rim and to the fin, such as by welding, bolting or any other suitable technique.

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25 It may also be desirable for this aspect of the present axle  
guard system to further comprise the above described cleat-  
free area with the at least one circumferential barrier being  
mounted on the cleat-free area.

In an addition aspect of the present invention a compaction machine is provided which has at least one of the present compactor wheels mounted on an axle. The compaction machine can be a three-wheel or four-wheel type. It is desirable for all of the compactor wheels of the compaction machine to be according to the present invention. The front compactor wheel of some three-wheel type compaction machines is actually two narrow compactor wheels positioned adjacent one another with an axle therebetween. Such a front compactor wheel has two inner circumferential edges, one for each narrow wheel. For such compaction

45 The present invention helps to prevent cable, rope, wire and other refuse and debris from moving inward toward the inner edge of the compactor wheel and subsequently wrapping around the axle of the wheel. In addition to this advantage, the barrier cuts a line in the trash being compacted which gives the operator of the compaction machine an indication of where the last pass was made by the machine, thereby helping to ensure that each area of the landfill will be subjected to the same number of passes and to achieve complete compaction coverage. Furthermore, having this barrier extend radially out from the rim helps to stabilize the compaction machine as it moves across a sloped portion of the landfill.

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The objectives, features, and other advantages of the present invention will become apparent upon consideration of the detailed description and the appended drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

5 FIG. 1 is a front view of a compactor wheel, partially broken away at two locations, mounting a ring-shaped barrier that is taller than the cleats mounted thereon, according to one embodiment of the present invention;

10 FIG. 2 is an outer edge view of the compactor wheel of FIG. 1 taken along the lines 2—2, with a ring-shaped barrier that is shorter than the cleats;

15 FIG. 3 is a front view of a portion of a compactor wheel, partially broken away, showing one of a number of alternative buttressing structures for the ring-shaped and the plate-type barrier of the present invention;

20 FIG. 4 is an inner edge view of a compactor wheel with a plurality of plate-type barrier fins mounted thereon in a circumferentially spaced manner between the cleats in the inner row of cleats, according to an alternative embodiment of the present invention;

25 FIG. 5 is a front view of a portion of a compactor wheel, partially broken away, showing another of a number of alternative buttressing structures for the ring-shaped and the plate-type barrier of the present invention;

30 FIG. 6 is a front view of a compactor wheel with its inner circumferential row of cleats removed to form a cleat-free area according to the present invention;

35 FIG. 7A is an inner edge view of one embodiment of a compactor wheel with a V-notched ring-shaped barrier according to the present invention;

40 FIG. 7B is an inner edge view of another embodiment of a compactor wheel with a rectangle-notched ring-shaped barrier according to the present invention; FIG. 7C is an inner edge view of an additional embodiment of a compactor wheel with a round-notched ring-shaped barrier according to the present invention;

45 FIG. 8A is an inner edge view of a further embodiment of a compactor wheel with a staggered plate-type barrier according to the present invention;

50 FIG. 8B is a top plan view of a section of the plate-type barrier of FIG. 8A, with the barrier section laid out lengthwise;

55 FIG. 9 is a top plan view of a section of an alternative embodiment of the staggered plate-type barrier of FIG. 8A, with the barrier section laid out lengthwise; and

60 FIG. 10 is a top plan view of a section of an overlapping plate-type barrier, according to the present invention, with the barrier section laid out lengthwise.

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DETAILED DESCRIPTION

55 Although the present invention is herein described in terms of specific embodiments, it will be readily apparent to those skilled in this art that various modifications, rearrangements, and substitutions can be made without departing from the spirit of the invention. The scope of the present invention is thus only limited by the claims appended hereto.

Referring to FIGS. 1 and 2, a compactor wheel 10 is shown mounted on the axle 12 of a compaction machine 14, such as those disclosed in U.S. Pats. Nos. 3,340,783, filed Jul. 12, 1965; 4,530,620, filed Jul. 23, 1985; and 5,358,355, filed Oct. 25, 1995, which are incorporated by reference herein, in their entirety. The wheel 10 includes a hub 11 adapted, for example, with a plurality of bolt holes for being bolted or otherwise mounted to the axle 12. A rim 18 is mounted around the hub 11. The rim 18 includes a wrapper 19 with an outer face or surface 21 on which a plurality of cleats 28 are mounted, such as by welding or any other suitable technique. The wheel 10 also includes an axle guard system such as, for example, a circumferential barrier 16 which extends radially out from the wrapper 19 of the rim 18 near the inner circumferential edge 20 of the wheel 10. This barrier 16 can be made of any suitable material such as, for example, steel.

20 The barrier 16 extends radially out from the rim 18 to a height above (e.g., as shown in FIGS. 1, 3 and 5), equal to, or below (e.g., as shown in FIGS. 2 and 4) the cleats 28 mounted on the wheel 10. It is believed that desirable results may be obtained using a barrier 16 having a height in the range of about 1 inch to about 8 inches. For a wheel 10 with cleats 28 each having a height of about 6 to 7 inches, satisfactory results have been obtained using a barrier 16 having a height of about 4 inches and a thickness of about 25 2 inches.

30 The barrier 16 shown in FIGS. 1 and 2 comprises a single ring-shaped wall 22 mounted circumferentially around the wrapper 19 of the rim 18. It can be desirable for the ring 22 to be supported or reinforced by some kind of buttressing structure 24 to, for example, help prevent the ring 22 from being bent or knocked off during the operation of the compaction machine 14. The need for such a buttressing structure 24 reduces, for example, as the height of the barrier 16 decreases. The need for a buttress 24 also reduces, for example, as the thickness of the barrier 16 increases. The buttressing structure 24 can be a flaring or broadening 23 of the thickness of the ring 22 where the ring 22 is mounted to the rim 18 (see FIG. 3). The buttressing structure 24 can also be structure separate from the ring 22 such as, for example, a truncated cone-shaped ring 26 mounted around the outer circumference of the wrapper 19 and joined, such as by welding, bolting or any other suitable technique, to the surface 21 of the wrapper 19 along a lower circumferential edge and to the ring-shaped wall 22 along an upper circumferential edge.

The buttressing structure 24 can also be any other continuous structure, such as a ring-shaped plate, that provides the barrier 16 with the desired degree of support. Instead of a continuous structure, the buttress 24 can comprise a series of blocks or plates 34 spaced circumferentially around the wrapper 19. Each of these blocks or plates 34 is mounted to the wrapper 19 and the ring-shaped wall 22, such as by welding, bolting or any other suitable technique.

Referring to FIG. 4, the circumferential barrier 16 can also comprise a series of spaced plate-type barriers or fins 30 mounted circumferentially around the wheel rim 18 near its

inner edge 20. It can be desirable for one or more of the fins 30 to be located between the cleats 28 on the inner circumferential row 36 as shown, for example, in FIGS. 4 and 5. It is desirable for each fin 30 to include tapered edges 32 to inhibit snagging debris with the fins 30. It can also be desirable for each of the fins 30 to be flared in thickness at their base for support (e.g., as shown in FIG. 3 for ring 22) or be supported with a separate buttressing structure 24 such as one or more of the blocks or plates 34 (see FIG. 5) mounted to the wrapper 19 and each of the fins 30. The one or more blocks or plates 34 can also be used to support the ring-shaped barrier 22.

Referring to FIG. 6, the axle guard system of the present invention can comprise a cleat-free area 37 formed on the face 21 of the wrapper 19. The area 37 extends up to about 10 inches from the inner edge 20. The cleat-free area 37 can be formed, for example, by removing the inner circumferential row 36 (shown in phantom) of the cleats 28. As an alternative to removing them, it may be desirable to move the inner row 36 of the cleats 28 away from the inner edge 20. Depending on how close they are to the inner edge 20 of the wheel 10, the inner circumferential row 36 of the cleats 28 tend to push refuse toward the wheel's axle 12. By removing the inner circumferential row 36 of the cleats 28 or moving the inner row 36 away from the inner edge 20 of the wheel wrapper 19, the refuse is less likely to be pushed toward the axle 12 and end up wrapped around the axle 12.

Instead of actually removing or moving the inner circumferential row 36 of the cleats 28, the same results may 30 effectively be obtained by extending the inner edge 20 of the wheel wrapper 19 toward the compaction machine 14. It may also be possible to obtain the desired results by doing a combination of moving the inner circumferential row 36 of the cleats 28 and extending the inner edge 20 of the wheel 35 wrapper 19. The extent to which the inner edge 20 of the wheel wrapper 19 is extended is dependant on the allowable clearance permitted between the compactor wheel 10 and the compaction machine 14.

In an effort to effectively move the inner circumferential row 36 of the cleats 28 away from the inner edge 20 of the wheel wrapper 19, it may be desirable to extend the outer edge 20 of the wrapper 19 and shift all of the circumferential rows of the cleats 28, including the innermost row 36, away 40 from the inner edge 20 of the wheel wrapper 19. It may also be desirable to extend both the inner circumferential edge 20 and the outer circumferential edge 39 of the wheel wrapper 19 to provide the room needed to move the cleats 28 a sufficient distance away from the axle 12 (i.e., the inner edge 45 20 of the wrapper 19) without having to remove the inner row 36 of the cleats 28. Especially for narrower compactor wheels 10 (i.e., those wheels that have a width, from edge 20 to edge 39, of about 35 inches or smaller), it is best not to remove the inner circumferential row 36 of the cleats 28, if 50 possible. The narrower compactor wheels 10 typically have only three circumferential rows of the cleats 28. The wider compactor wheels 10 (i.e., those wheels having an edge to edge width of greater than about 35 inches) typically have 55 four to five circumferential rows of the cleats 28 and are less affected by the loss of the inner row 36 of the cleats 28.

When a ring-shaped barrier 22 is used with a wider compactor wheel 10, it can be desirable to remove the inner row of cleats 28 and replace them with the ring-shaped barrier 22.

5 Referring to FIGS. 7A-7C, the ring-shaped barrier 22 can also be a V-notched ring-shaped barrier 38, with a plurality of V-shaped notches spaced along the outer circumference of

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15 the ring 38, a rectangle-notched ring-shaped barrier 40, with a plurality of rectangular-shaped notches spaced along the outer circumference of the ring 40, or a round-notched ring-shaped barrier 42, with a plurality of round-shaped notches spaced along the outer circumference of the ring 42.

20 Referring to FIGS. 8A and 8B, instead of the barrier 16 comprising a plurality of separate spaced fins 30, the barrier 16 can comprise a series of plate-type barriers or fins 44 that are connected to one another from end to end. For example, as shown in FIG. 8B, each fin 44 is connected at either end to an adjacent fin 44 by a connecting plate 46. Every other fin 44 is also positioned widthwise on the wrapper 19 from the other fins 44 so as to form two lines of staggered fins 44

25 around the circumference of the wrapper 19. In addition to providing the benefits of a barrier 16, it is believed that the connecting plates 46 will also provide addition traction for the wheel 10.

30 Referring to FIG. 9, an alternative embodiment of the staggered plate-type barrier 16 of FIGS. 8A and 8B can include fins 48 which are circumferentially spaced apart from one another, as well as having every other fin 48 positioned widthwise from the other fins 48. This alternative barrier 16 also forms two lines of staggered fins 48 around the circumference of the wrapper 19.

35 Referring to FIG. 10, as an alternative, instead of being connected by connecting plates 46, the barrier 16 can be an overlapping plate-type barrier formed by a plurality of fins 50 which overlap and are connected at their ends.

40 The present invention helps to prevent cable, rope, wire and other refuse and debris from moving inward toward the inner edge 20 of the compactor wheel 10 and subsequently wrapping around the axle 12 of the compaction machine 14. In addition to this advantage, the barrier 16 can cut a line in the trash being compacted which gives the operator of the compaction machine 14 an indication of where the last pass was made by the machine 14, thereby helping to ensure that each area of the landfill will be subjected to the same number of passes and to achieve complete compaction coverage. Furthermore, having this barrier 16 extend radially out from the wrapper 19 helps to stabilize the compaction machine 14 as it moves across a sloped portion of the landfill.

45 50 55 From the above disclosure of the general principles of the present invention and the preceding detailed description, those skilled in this art will readily comprehend the various modifications to which the present invention is susceptible. Therefore, the scope of the invention should be limited only by the following claims and equivalents thereof.

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## What is claimed is:

1. A compactor wheel mountable on an axle of a compaction machine, said compactor wheel comprising:  
5      a hub mountable to an axle of a compaction machine;  
a rim mounted around the outer circumference of said hub, said rim having a face and an inner circumferential edge and an outer circumferential edge;  
10     a plurality of compaction cleats circumferentially spaced on, transversely spaced across and mounted to said face of said rim; and  
15     an axle guard system comprising a cleat-free area formed circumferentially around said rim on said face and extending widthwise from said inner edge across said rim toward said outer edge at least about the width of one of said cleats.  
20     2. The compactor wheel as set forth in claim 1, wherein said axle guard system further comprises at least one circumferential barrier mounted on said cleat-free area so as to extend above said face.

## 8

25     3. The compactor wheel as set forth in claim 2, wherein said at least one circumferential barrier is mounted on said cleat-free area so as to extend radially outward from said face.  
30     4. The compactor wheel as set forth in claim 2, wherein said at least one circumferential barrier is mounted on said cleat-free area adjacent said inner circumferential edge of said rim.  
35     5. The compactor wheel as set forth in claim 2, wherein each of said cleats has a height, and said at least one circumferential barrier extends above said face a height greater than the height of said cleats.  
40     6. A compactor wheel mountable on an axle of a compaction machine having a body suitable for compacting refuse, said compactor wheel comprising:  
a hub mountable to an axle of a compaction machine;  
45     a rim mounted around the outer circumference of said hub, said rim having a face and an inner circumferential edge and an outer circumferential edge;  
a plurality of compactor wheel cleats circumferentially spaced on, transversely spaced across and mounted to the face of said rim; and  
50     an axle guard system comprising at least one circumferential barrier extending above said face and adjacent said inner circumferential edge of said rim, wherein said compactor wheel is suitable for supporting the body of a compaction machine.  
55     7. The compactor wheel as set forth in claim 6, wherein said at least one circumferential barrier extends radially outward from said face.  
8. The compactor wheel as set forth in claim 6, wherein said at least one circumferential barrier comprises a ring-shaped wall.  
9. The compactor wheel as set forth in claim 6, wherein said at least one circumferential barrier comprises a plurality of circumferentially spaced fins.

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10. The compactor wheel as set forth in claim 9, wherein  
said compactor wheel has an inner row of said cleats  
mounted adjacent to said inner circumferential edge, one of  
said fins is mounted to said rim between each pair of  
adjacent cleats forming said row.

11. The compactor wheel as set forth in claim 10, wherein  
said at least one circumferential barrier includes buttressing  
structure for support.

12. The compactor wheel as set forth in claim 11, wherein  
said buttressing structure is a broadening of said at least one  
circumferential barrier at said face of said rim.

13. The compactor wheel as set forth in claim 6, wherein  
said axle guard system further comprises a cleat-free area  
formed circumferentially around said rim on said face and  
extending widthwise from said inner edge across said rim  
toward said outer edge at least about the width of one of said  
cleats, said at least one circumferential barrier being  
mounted on said cleat-free area.

14. A compaction machine comprising:  
a body suitable for compacting refuse, said body having  
opposite sides;  
an axle having two ends and mounting said body; and  
a compactor wheel mounted on each end of said axle, one  
compactor wheel on each side of said body, each said  
compactor wheel comprising:  
a hub mounted to one end of said axle,  
a rim mounted around the outer circumference of said  
hub, said rim having a face, an inner circumferential  
edge adjacent to one side of said body and an outer  
circumferential edge,

9

35 a plurality of cleats circumferentially spaced on and mounted to said face of said rim, and  
an axle guard system comprising at least one circumferential barrier extending above said face and adjacent said inner circumferential edge of said rim.

40 15. The compactor wheel as set forth in claim 14, wherein said at least one circumferential barrier comprises a ring-shaped wall.

45 16. The compactor wheel as set forth in claim 14, wherein said at least one circumferential barrier comprises a plurality of circumferentially spaced fins.

50 17. The compactor wheel as set forth in claim 16, wherein said compactor wheel has an inner row of said cleats mounted adjacent to said inner circumferential edge, one of said fins is mounted to said rim between each pair of adjacent cleats forming said row.

10

18. The compactor wheel as set forth in claim 17, wherein said at least one circumferential barrier includes buttressing structure for support.
19. The compactor wheel as set forth in claim 18, wherein said buttressing structure is a broadening of said at least one circumferential barrier at said face of said rim.  
5
20. The compactor wheel as set forth in claim 14, wherein said axle guard system further comprises a cleat-free area formed circumferentially around said rim on said face and extending widthwise from said inner edge across said rim toward said outer edge at least about the width of one of said cleats, said at least one circumferential barrier being mounted on said cleat-free area.  
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15

21. A compactor wheel mountable on an axle of a compaction machine, said compactor wheel comprising:

5      a hub mountable to an axle of a compaction machine;

a rim mounted around the outer circumference of said hub, said rim having a face and an inner circumferential edge and an outer circumferential edge;

10     a plurality of compaction cleats circumferentially spaced on, transversely spaced across and mounted to said face of said rim; and

an axle guard system comprising a cleat-free area formed circumferentially around said rim on said face and extending widthwise from said inner edge across said rim toward said outer edge at least about the width of a compaction cleat.

22. The compactor wheel as set forth in claim 21, wherein said cleat-free area extends widthwise from said inner edge across said rim toward said outer edge up to about 10 inches.

15     23. A compaction machine comprising:

a body suitable for compacting refuse, said body having opposite sides;

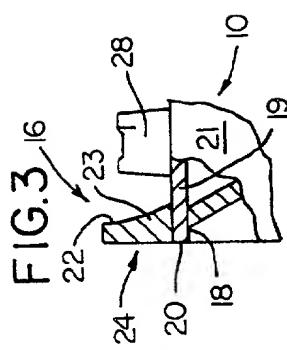
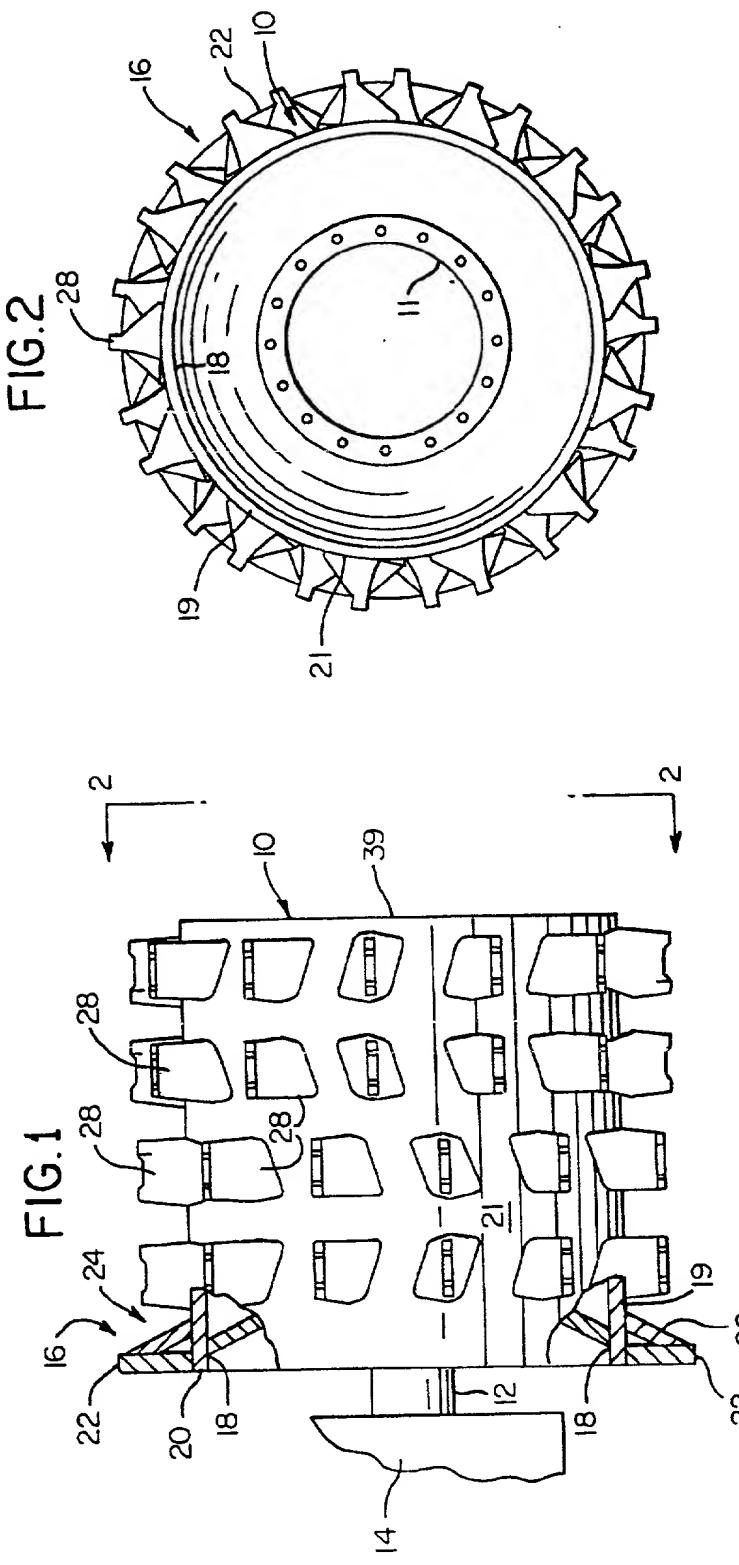
an axle having two ends and mounting said body; and

a compactor wheel according to claim 21 mounted on each end of said axle, one compactor wheel on each side of said body.

[57]

### ABSTRACT

The present invention includes an axle guard system which prevents, or at least substantially inhibits, cable, rope, wire and other refuse and debris from wrapping around the axle 5 of a compaction machine, behind a compactor wheel mounted on the axle, during the operation of the compaction machine in compacting refuse. The compaction machine is of the type used, for example, in landfills or transfer facilities 10 to compact (i.e., increase the density of) the refuse disposed therein. The compactor wheel comprises a hub mountable to the compaction machine axle, a rim mounted around the outer circumference of the hub, a plurality of cleats mounted to the face of the rim, and the axle guard system. The axle 15 guard system can comprise at least one circumferential barrier extending above the face and adjacent the inner circumferential edge of the rim and/or a cleat-free area extending widthwise from the inner circumferential edge of the rim and across the rim toward the outer circumferential 20 edge of the rim.



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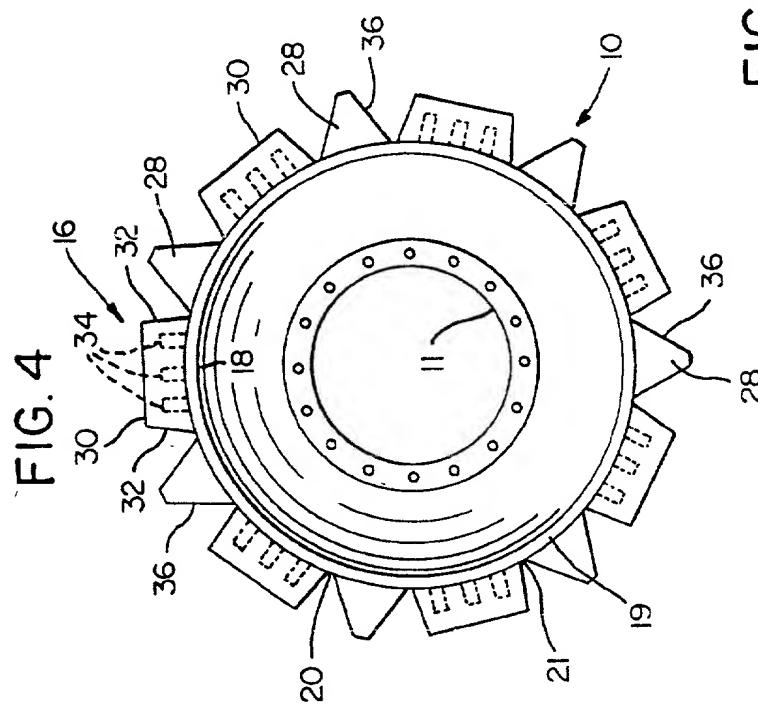


FIG. 6

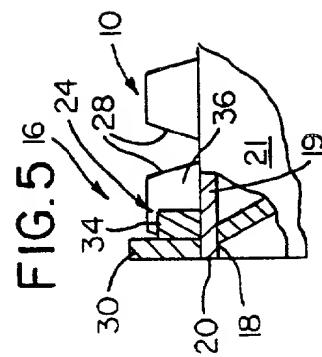
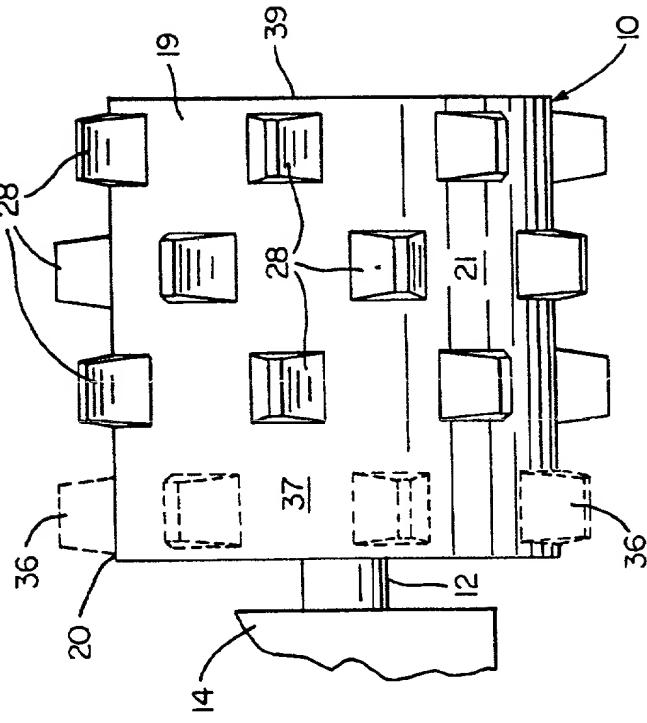


FIG. 7A

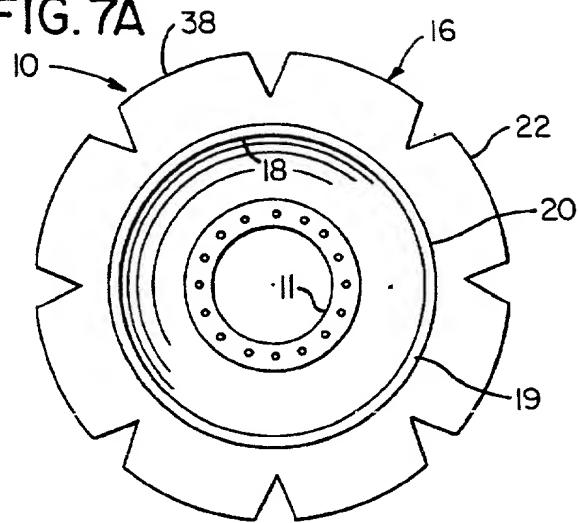


FIG. 7B

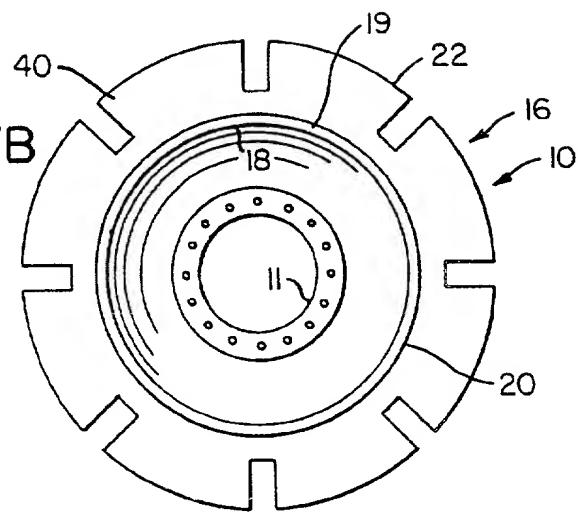
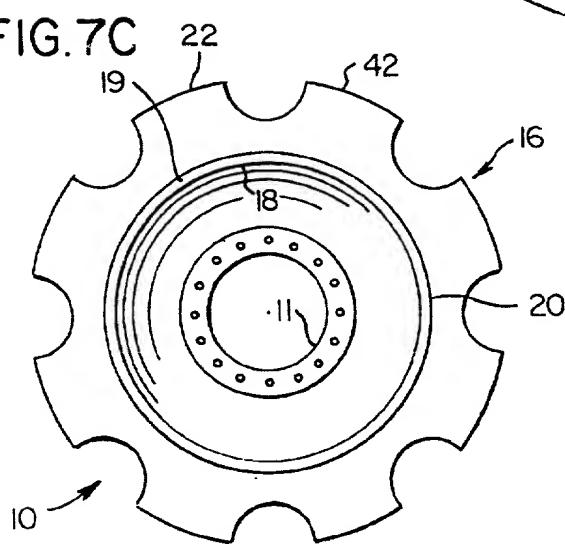
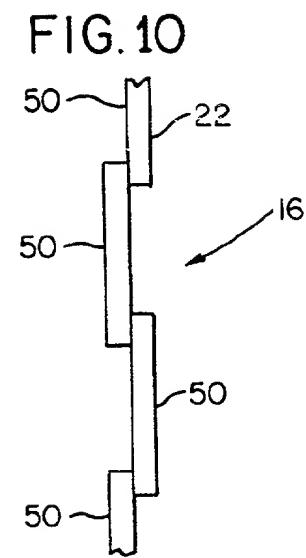
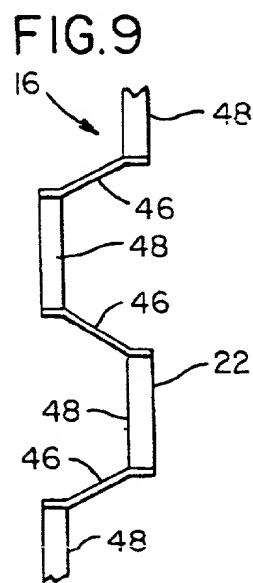
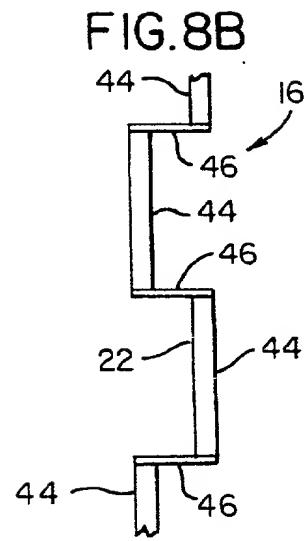
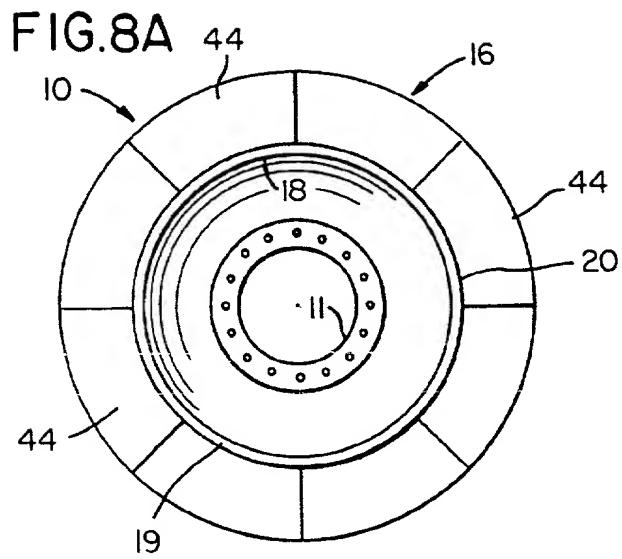
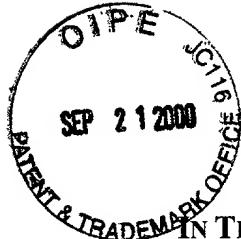


FIG. 7C







Reissue Patent  
Docket No.: TER003RA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert J. Brockway

Original Patent No.: 5,769,507

Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM

Original Issue Date: June 23, 1998

**INVENTOR'S REISSUE OATH**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Being duly sworn, Robert J. Brockway deposes and says as follows:

1. I am a citizen of the United States of America, residing in the City of Plymouth, County of Sheboygan, and the State of Wisconsin.
2. I believe that I am the original, first, and sole inventor of the invention or discovery in COMPACTOR WHEEL AXLE GUARD SYSTEM described and claimed in United States Letters Patent No. 5,769,507, issued June 23, 1998, and in the above-identified application, for which I solicit a reissue patent; and I do not believe that this invention was ever known or used in the United States of America before my invention or discovery thereof.
3. I have reviewed and understand the contents of the specification of the above-identified application, including the claims, as amended by any amendment specifically referred to herein.
4. I acknowledge my duty to disclose to the Patent and Trademark Office all information known to us to be material to the examination of the application.

5. I believe the original United States Letters Patent No. 5,769,507 to be partly inoperative for the reason that I claimed less in the patent than I had a right to claim, particularly by omission of claims 21-23 of the foregoing specification.

6. The error which renders the patent partially inoperative arose from inadvertence, accident or mistake, without any fraudulent or deceptive intention on my part.

7. The error initially arose when the non-provisional patent application which resulted in the original Letters Patent was filed on October 17, 1996. The error arose because our attorney did not fully appreciate, at the time the application was filed or at the time the application was allowed, the true nature and scope of the invention disclosed in the original application and thus did not prepare claims of broad enough scope to provide the patent protection to which the invention properly is entitled.

6. Claims 1-5 all require that the axle guard system comprise a cleat-free area that extends widthwise from the inner edge "at least about the width of one of said cleats." This cleat free area can have at least the width of any compaction cleat, not just the width of the compaction cleats mounted to the face of the wheel rim.

8. The error further arose because I did not appreciate that the claims 1-5 require that the axle guard system comprise a cleat-free area that extends widthwise from the inner edge "at least about the width of one of said cleats." This cleat free area can have at least the width of any compaction cleat, not just the width of the particular compaction cleats mounted to the face of the wheel rim.

9. The error was discovered after issuance of the original Letters Patent, when the claims of the patent were reviewed against commercial compactor wheels then appearing in the marketplace. It was then discovered that the claims 1-5 of the original Letters Patent require that the cleat-free area extends widthwise from the inner edge "at least about the width of one of said

cleats" as an element of the apparatus, and that it is not necessary to restrict all the cleat-free area claims in this manner.

10. Claim 21 is an independent claim submitted. It differs from original Claim 1, in that it replaces the original "at least about the width of one of said cleats" language with the language "at least about the width of a compaction cleat."

11. Claim 22 is dependent upon claim 21 and adds the limitation that the cleat-free area has a width of up to about 10 inches.

12. Claim 23 is directed to a compaction machine that comprises the wheel of claim 21.

  
Robert J. Brockway

STATE OF WISCONSIN )  
 ) ss.  
COUNTY OF Sheboygan )

On this 6<sup>th</sup> day of Sept., 2000, before me personally appeared the above named Robert J. Brockway, who signed the foregoing statement in my presence and made oath before me to the allegations set forth therein as being under oath.

(SEAL)

  
Barbara Vollmer  
Notary Public

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert J. Brockway

Original Patent No.: 5,769,507

Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM

Original Issue Date: June 23, 1998

**DECLARATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

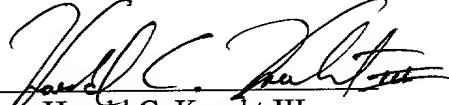
I, Harold C. Knecht III, declare that:

1. I have been an attorney registered to practice Patent Law since March 24, 1992.
2. I drafted the parent provisional application No. 60/005,639 of the above-identified reissue application and filed it on October 19, 1995.
3. I drafted the parent non-provisional application No. 08/732,901 of the above-identified reissue application and filed it on October 17, 1996 and prosecuted the parent non-provisional application to the 5,769,507 patent.
4. I drafted the claims that were prosecuted and allowed in the parent non-provisional application.
5. The true nature and scope of the invention disclosed in the parent non-provisional application was not fully appreciated at the time the application was filed or at the time the application was allowed and thus claims of broad enough scope to provide the patent protection to which the invention is properly entitled were not prosecuted.

6. Claims 1-5 all require that the axle guard system comprise a cleat-free area that extends widthwise from the inner edge "at least about the width of one of said cleats." This cleat free area can have at least about the width of any compaction cleat, not just the width of the particular compaction cleats that happen to be mounted to the face of the wheel rim.

7. I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

By

  
Harold C. Knecht III  
Reg. No. 35,576

Date 6/22/00